

Comparison of Methodologies for Limiting Opening Sizes in Forest Harvest Scheduling

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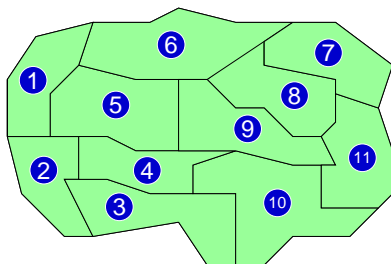
Outline

- 1 Introduction
 - Description of Problem
 - The Area Restriction Model (ARM)
- 2 Two Integer Programming Approaches for ARM
 - Cell Approach
 - Cluster Approach
- 3 Comparing the two Approaches
 - Modeling Advantages of the Cluster Approach
 - Computational Advantages of Each Approach

Obtain Harvest Schedule that Maximizes Profit Subject to Clear Cut Limitations and Side Constraints

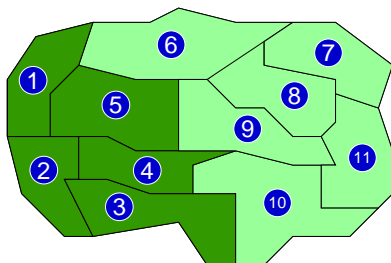
- Environmental regulations set Maximum Area Constraints:
 - Reasons include wildlife habitat, scenic beauty, etc.
 - Maximum Clear Cut Area: 40+ to 120+ acres.
 - Thompson et al. 1973, Jones et al. 1991, Barrett et al. 1998, Murray 1999, Boston and Bettinger 2001, Boston and Bettinger 2001, McDill et al. 2002, Bettinger and Sessions 2003. . .
- Side constraints include:
 - Timber Volume Flow Constraints.
 - Average Ending Age.

ARM Includes Aggregation of Cells in the Problem



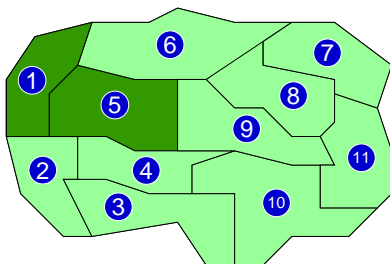
- Forest composed of small management units (Cells).
- Cluster = Groups of adjacent cells.
- Feasible Cluster = Area-complying clusters.
- Solution is group of non-adjacent feasible clusters.

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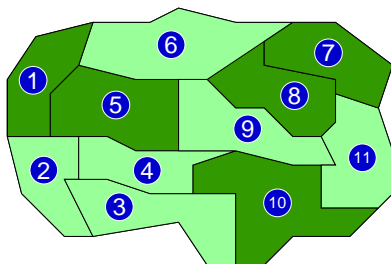
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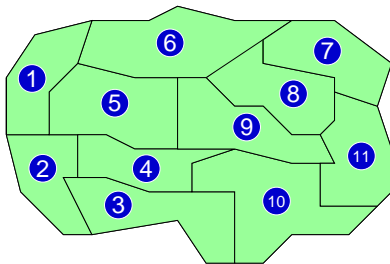
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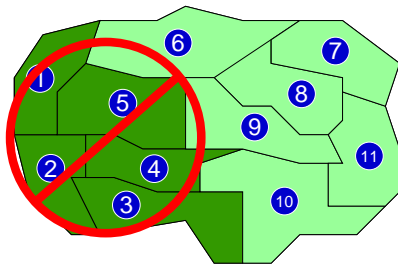
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Cell Approach Forbids Infeasible Clusters



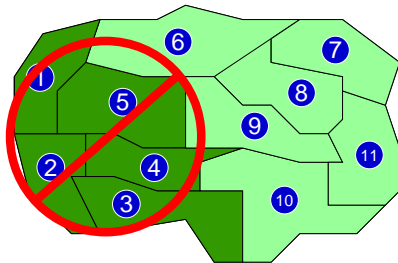
- One variable per cell.
- Cover/Path Constraints forbid harvesting (Minimal) Infeasible Clusters. (McDill et al. 2002)
- Strengthening:
 - Crowe et al. 2003 *Clique Constraints*.
 - Gunn and Richards 2005 *Stand Centered Const.*

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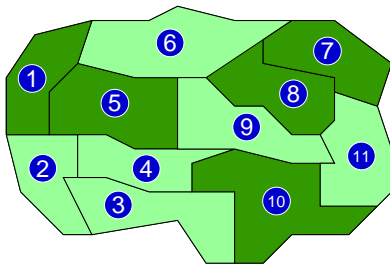
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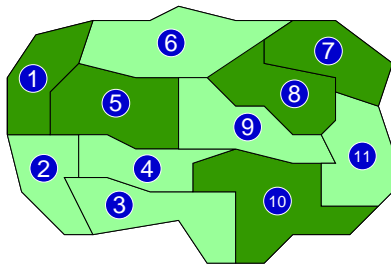
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Cluster Approach Does Explicit Aggregation



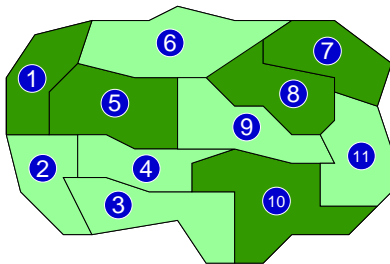
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Cluster Approach Easily Allows for Extra Modeling Requirements

- Fixed Harvesting Costs:
 - Modify objective coefficients in cluster approach.
 - Not clear how to do in cell approach.

- Average area clear-cut constraints:
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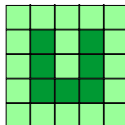
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Control Over Clusters Creation Allows to Restrict Clear Cut Shapes and Gives Heuristic

- Easy to forbid inconvenient cluster shapes:
 - U shaped clusters.
 - Long and thin clusters.
 - etc.

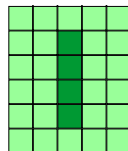
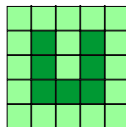
- Minimum Cluster Size.
 - Often fixed costs hard to quantify.
 - Imposed for economic reasons.



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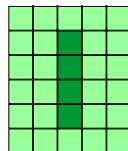
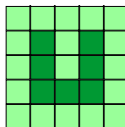


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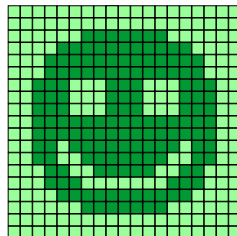
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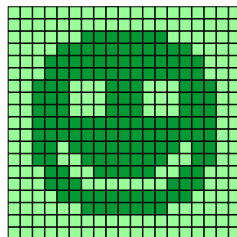
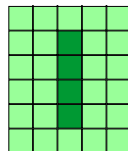
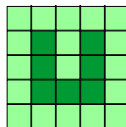
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Description of Forest Instances

● El Dorado

- 1,363 nodes and 3,609 arcs.
- Node areas 10-116.35 acres. Max area 120.
- Feasible clusters ≤ 7 nodes, cliques ≤ 4 nodes.



● Shulkell

- 1,039 nodes and 2,065 arcs.
- Node areas 0.31-277.64 acres. Max area 40.
- Feasible clusters ≤ 13 nodes, cliques ≤ 4 nodes.



● Lemon Creek (Partial URM)

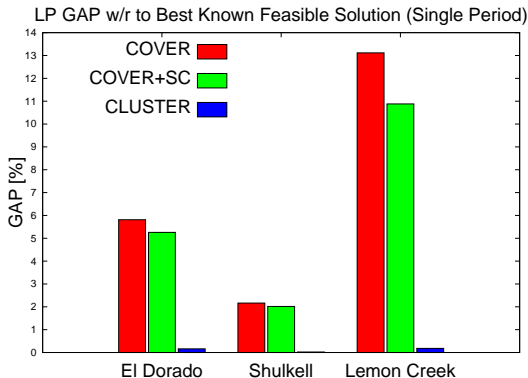
- 6,624 nodes and 18,048 arcs.
- Node areas 7.01 and 242.53 acres. Max area 40.
- Feasible clusters ≤ 5 nodes, cliques ≤ 4 nodes.



- 3 and 5 period instances with volume and ending age constraints. Solved with CPLEX 9 for 10,000 seconds. 0.01% GAP considered Optimal

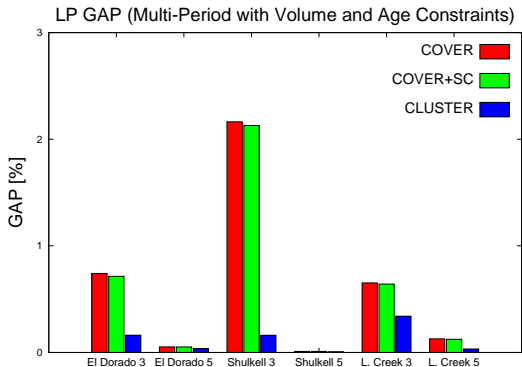
Cluster Approach LP is Tighter than Cell Approach LP

- Theorem:** LP of Cluster Formulation is Stronger than LP of Cell Formulation with Cover Constraints

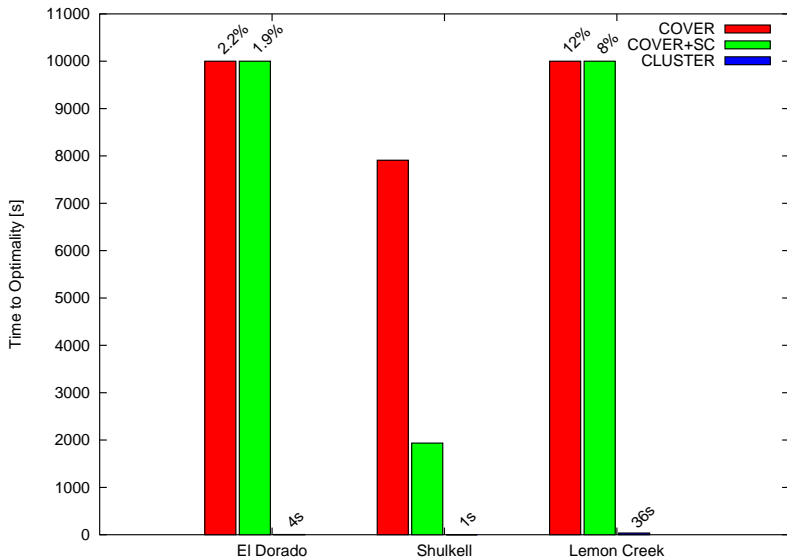


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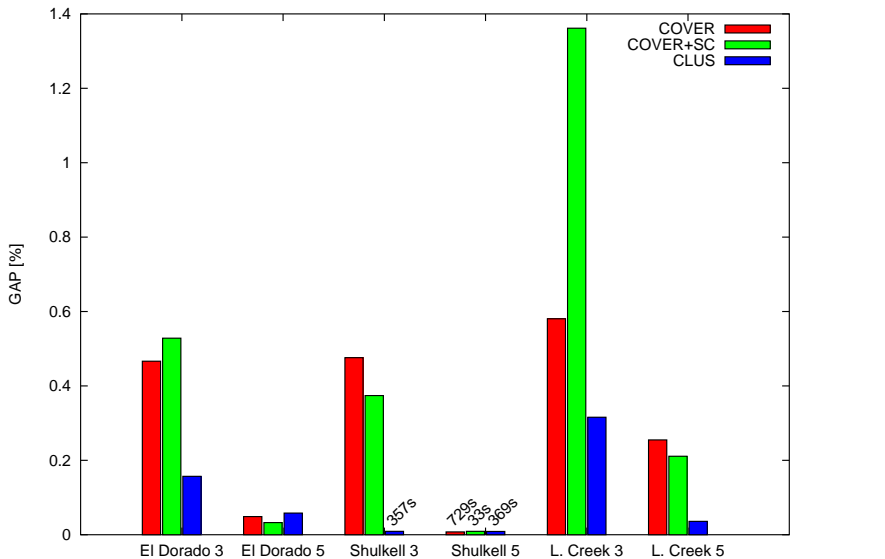
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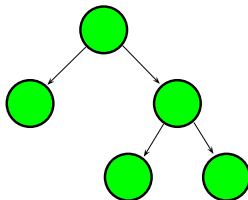
Performance of IP for Single Period Problems



Performance of IP for Multiperiod Prob. w Side Const.



Why are Tight LP's Good for Solving IP's

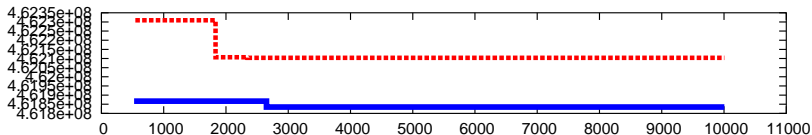


- Solving IP's, Two Aspects:
 - Lower Bounds: Integer Feasible Solutions
 - Upper Bounds: Best LP of unprocessed nodes. Used to prove optimality or validate GAP.

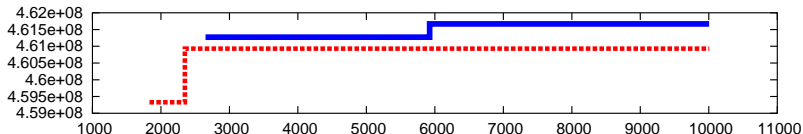
Details of IP for Multiperiod Prob. w Side Const.

Lemon Creek 5 Periods

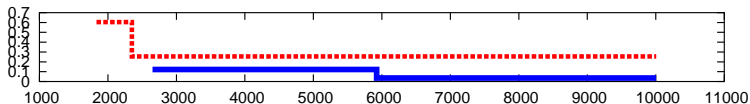
Upper Bounds = Best LP of Remaining B&B nodes (Smaller = Better)



Lower Bounds = Integer Feasible Solutions (Bigger = Better)



GAP [%]



Cluster Approach ———

Cell Approach with Cover Constraints - - - -

Conclusions

- Advantages of the Cluster Approach:
 - Models problems which cell approach can not.
 - Tighter LP bounds (both theoretically and practically).
 - One period instance sub-problem solves much better.
- Advantages of the Cell Approach.
 - Better at finding good feasible solutions quickly.
 - Linear Programming Relaxation solves very fast.
- Which approach should be used? Both very effective!
 - For quickly finding solutions within a very small gap?
 - For solving to optimality?
 - For validating a heuristic?
 - The Important Question: What is the target time/gap?
- More real forest instances needed. (FMOS)
- Slides available at <http://www.isye.gatech.edu/~jvielma/>.

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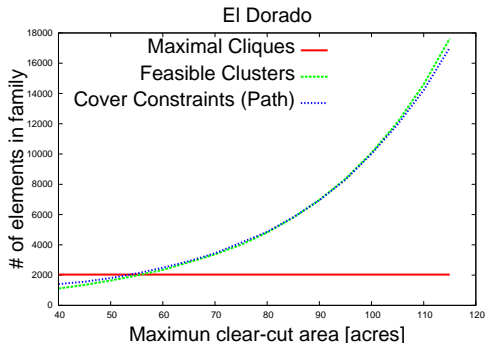
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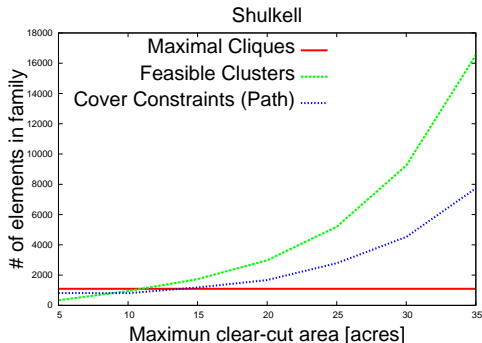
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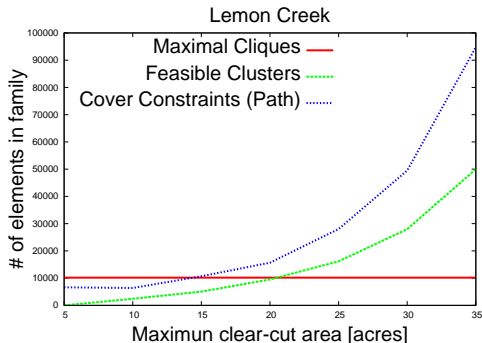
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